

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Scott Malcolm Caplan, et al.      Art Unit : 3623  
Serial No. : 10/697,907      Examiner : Andre D Boyce  
Filed : October 29, 2003      Conf. No. : 9741  
Title : METHOD AND APPARATUS FOR CREATING AND EVALUATING  
STRATEGIES

**MAIL STOP: AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN  
ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98**

Because this Supplemental Information Disclosure Statement is filed after the receipt of a First Office Action on the Merits for the above-captioned application, authorization for a credit card payment of the filing fee of \$180.00 is submitted herewith. No additional fees are believed to be due; however the Commissioner is authorized to charge any additional fees or credit overpayments to Deposit Account No. 50-0311, Attorney Docket Number 35006-556F01US.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-08 (4 pages) and a copies of the cited non-U.S. patent documents are provided herewith in connection with the above-captioned application.


The documents cited on Form PTO-08 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

CERTIFICATE OF ELECTRONIC TRANSMISSION

I hereby certify that this correspondence is being electronically transmitted to the Patent and Trademark Office on the date indicated below in accordance with 37 CFR 1.8(a)(1)(i)(C).

May 30, 2012

Date of Transmission

  
Signature

Monette Zamudio

Typed or Printed Name of Person Signing Certificate

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Information Disclosure Statement

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

The undersigned respectfully requests that the Examiner review the foregoing references and make them of record in the file history of the above captioned application.

Respectfully Submitted,

Date: May 30, 2012

/ck3/  
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